

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER

#5

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

411

20496-477
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/528,226

INTERNATIONAL APPLICATION NO. PCT/EP03/09319 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED August 22, 2003 September 21, 2002 TITLE OF INVENTION METHOD AND MACHINE TOOL FROM MACHINING A TIRE OF A TRAIN WHEEL SET APPLICANT(S) FOR DO/EO/US Hans-Joachim Reiche Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔽 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/528,226	INTERNATIONAL API		ATTORNEY'S DOCKET NUMBER 20496-477		
20. Other items or information: -Return Postcard -Copy of the Notification of Missing Requirements dated 11/02/205Express Mail No. EV 006699387 US					
The following fees have been submitted			CALCULATIONS	PTO USE ONLY	
21. Basic national fee (37 CFR 1.492(a))		\$300	\$	<u> </u>	
22. Examination fee (37 CFR 1.492(c))		<del></del>			
If the written opinion prepared by ISA/US or the interna by IPEA/US indicates all claims satisfy provision All other situations	\$				
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$		
TOTAL OF 21, 22 and 23 =					
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
	Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
- 100 = /50 =		× \$250	\$	ı	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 65.00		
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims - 20 =		× \$50	\$		
Independent claims - 3 =		× \$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$		
	TOTAL OF ABOVE		\$		
Applicant claims small entity status. See 37 CFR 1	.27. Fees above are reduce	d by ½.			
December 6 - 600000 6 - 6 - 6 - 6 - 6 - 6 - 6 -		SUBTOTAL =	\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ 130.00			
TOTAL NATIONAL FEE =		\$ 195.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$			
TOTAL FEES ENCLOSED = \$			\$		
			Amount to be refunded:	\$	
			Amount to be charged	\$ 195.00	

11/14/2005 ATRAN1 00000063 152500 10528226

\$5.00 DA 130.00 DA 01 70:2617 02 FC:1618

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<u>.</u> $\Box$	A check in the amount of \$ to cover the above fees	is enclosed				
ь. 🔽	Please charge my Deposit Account No. 16-2500 in the amount of \$ 195 A duplicate copy of this sheet is enclosed.					
c. 🗸						
d. 🔲	d. Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND A	ALL CORRESPONDENCE TO:	Charles Guttman				
P:	Proskauer Rose LLP Patent Department	29,161 REGISTRATION NUMBER				
D	Date:November 8, 2005					



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	ATTY. DOCKET NO.	
10/528,226	Hans-Joachim Reiche	2	20496-477 RNATIONAL APPLICATION NO.	
		INTERNATIONAL AP		
	_	PCT/EP03/09319		
Proskauer Rose LLP		I.A. FILING DATE	PRIORITY DATE	
Patent Department	_	08/22/2003	09/21/2002	

Proskauer Rose LLP Patent Department 1585 Broadway New York, NY 10036

CONFIRMATION NO. 6234 371 FORMALITIES LETTER

\*OC000000017351555\*

Date Mailed: 11/02/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Copy of IPE Report filed on 03/18/2005
- Information Disclosure Statements filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$195 for a Small Entity:

- \$65 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,226	PCT/EP03/09319	20496-477

FORM PCT/DO/EO/905 (371 Formalities Notice)

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